



Yattendon School

Whistleblowing Policy

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Review period	Cyclical – 3 yrs	Next review due	Summer 2026
Based on Surrey model?	Yes	Policy prepared by	Guy Perkins
Status	Recommended	Governor review:	Alastair Hitchman

Navex Global

Freephone 08000698180

Navex Global is an external and independent organisation which provides a confidential hotline service for whistle blowing. Navex Global can be contacted any time, night or day, in complete confidence with any relevant concerns. The call will not be traced or monitored.

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Introduction

Yattendon School is committed to the highest possible standards of honesty, openness, probity and accountability. It seeks to conduct its affairs in a responsible manner, to ensure that all school activities are open and effectively managed, and that the school's integrity and principles of public interest disclosure are sustained.

In line with that commitment we encourage employees, those working on behalf of the School and others that we deal with, who have serious concerns about any aspect of the School's work to come forward and voice those concerns as follows:

- a) with their immediate line manager and/or the Headteacher. Where any member of staff decides to report a serious incident, whether anonymous or not, this will be treated as a 'protected, internal disclosure' ie there will be no adverse repercussions for the member of staff.
- b) staff are encouraged to use an external, independent and confidential service provided by the Navex Global helpline. Freephone: 08000698180
- c) if for any reason you feel unable to report a situation through our current provider, you may wish to use Public Concern at Work.

Purpose of the policy

Staff are often the first to realise that there may be something seriously wrong within the School. However, staff may not express their concerns because they feel that speaking up would be disloyal to their colleagues or to the School. They may also fear harassment or victimisation. Each person working for Yattendon School needs to realise that they not only have the right, but also a duty to report any improper actions or omissions.

Yattendon School also recognises and appreciates that staff who raise concerns regarding malpractice or wrongdoing are an asset to the School, and not a threat. This policy makes it clear that they can raise concerns without fear of victimisation, subsequent discrimination or disadvantage. The whistle blowing policy is intended to encourage and enable staff to raise serious concerns within the School.

This policy aims to:

- encourage staff to feel confident in raising serious concerns and to question and act upon concerns about practice, knowing that their confidentiality will be respected
- provide avenues to raise those concerns and receive feedback on any action taken
- ensure that staff receive a response to their concerns and that they are aware of how to pursue them if they are not satisfied
- re-assure staff that they will be protected from possible reprisals or victimisation if they have made any disclosure in good faith (though vexatious or malicious concerns may be considered a disciplinary issue)

Who is covered by the policy?

The policy applies to all School employees whether full-time or part time, permanent or temporary; members of the School and those carrying out work for the School on School premises, for example, agency workers, contractors, consultants. It also covers providers of works, services and supplies, including the School's external contractors and those providing services under a contract with the School in their own premises. However, to facilitate the reading of this policy, the terms 'staff' or 'members of staff' have been used, with the intention to cover all individuals mentioned above.

Related Legislation

The requirement to have a whistle-blowing procedure is set out in government guidance on whistle-blowing. This policy also takes into account the Public Interest Disclosure Act 1998.

Scope of the policy

There are existing procedures in place to enable employees to lodge a grievance relating to their own employment. The whistle blowing policy is intended to cover serious concerns that fall outside the scope of other procedures, in accordance with the Public Interest Disclosure Act 1998. These include:

- Conduct which is an offence or a breach of law
- Failure to comply with a legal obligation
- Disclosures related to miscarriages of justice
- Health and safety risks, including risks to the public as well as other employees
- Damage to the environment
- Information relating to the above issues that has been or is likely to be deliberately concealed.

Examples of the above categories are likely to include:

- The unauthorised use or misuse of public funds
- Possible fraud and corruption
- Sexual, physical or psychological abuse of pupils at the school
- Harassment (including sexual harassment) & bullying of staff
- Breaches of codes of conduct

Therefore, any serious concerns that a member of staff has about any aspect of service provision or the conduct of Yattendon School staff or Members of the School, others acting on behalf of the School, service users or residents, can be reported under the whistle blowing policy where the member of staff has a reasonable belief in those concerns and they relate to one of the specified areas set out above. Staff should also note the dignity at work policy when considering their cause of action.

Safeguarding against harassment or victimisation

The School is committed to good practice and high standards and wants to be supportive of employees. It is recognised that the decision to report a concern can be a difficult one to make. If a member of staff has a reasonable belief that what they are saying is true, they have nothing to fear because they will be doing their duty to their employer and/or those for whom they are providing a service.

The School will take a zero tolerance approach to any act of harassment or victimisation (including informal pressures). The School will take appropriate action to protect staff when they raise a concern, by supporting the member of staff and consider action under the appropriate procedure (for example Disciplinary) against the person or persons responsible for the reported acts, provided the member of staff:

- Discloses the information in good faith
- Believes the concern to be true
- Does not act maliciously or make false allegations
- Does not seek any personal gain.

and provided the allegations relate to one of the categories covered by the scope of the policy and referred to above.

There are national guidelines to help you as a whistleblower. Here is a link to further information on the protection of complainants disclosing information to the Information Commissioner's Office (ICO).

<https://ico.org.uk/concerns/>

Unsubstantiated allegations

If a member of staff makes an allegation in good faith, but it is not confirmed by the investigation, no action will be taken against them. If, however, an allegation is made frivolously, maliciously or for personal gain, disciplinary action may be taken.

Confidentiality

All concerns will be treated in confidence but at the appropriate time, the whistle blower may be asked to come forward as a witness, and this will be discussed with them.

Anonymous allegations

This policy encourages staff to put their name to their allegation whenever possible.

Where a concern is raised via the external confidential Navex Global Service, there is a provision to provide Navex Global with name and contact details which will not be passed to the School without express permission from the individual. This enables feedback to be given.

The School will take all concerns raised seriously. However, concerns expressed anonymously are much less powerful but will be considered at the discretion of the School. In exercising this discretion the factors to be taken into account would include:

- The seriousness of the issues raised
- The credibility of the concern; and
- The likelihood of confirming the allegation from attributable sources.

How to raise concerns

There are two ways in which to raise a concern: (ie making it more balanced between reporting internally or externally).

1. As a first step, a member of staff should normally raise concerns with the Head Teacher where this is appropriate to the nature of the concern. If the allegations involve the Head Teacher, the member of staff should raise the matter with the Chair of the Governing Body or, should the whistle blower feel the need to involve a person external to the school, his/her trade union/professional association or the Local Education Officer (LEO), Ann Panton (ann.panton@surreycc.gov.uk)

2. While concerns will usually be raised internally, the School recognises that staff may feel unable to do this, and that they may wish to contact an independent, external organisation, such as Navex Global (08000698180) to report the concern to be reviewed internally.

Concerns may be raised verbally or in writing. Staff who wish to make a written report are invited to use the following format:

- The background and history of the concern (giving relevant dates);
- The reason why they are particularly concerned about the situation.

The earlier the concern is expressed, the easier it is to take action. In order to assist with the investigation, staff should provide as much detail and supporting evidence as possible regarding their concern. Although

staff are not expected to prove beyond doubt the truth of an allegation, it will need to be demonstrated to the person contacted that there are sufficient grounds for concern for the person who raises them to have a reasonable belief that they exist.

The whistle blower may invite a recognised Trade Union representative or a work colleague to be present during any meetings or interviews in connection with the concerns raised.

A third option for staff who wish to raise concerns, is to contact the Public Concern at Work helpline on: 020 7404 6609. They provide independent and confidential advice to workers who are unsure whether or how to raise a public interest concern.

How the School will respond

The School will investigate and respond to all concerns raised by staff or service users through any channels including Navex Global.

While it is not essential that the concerns be provided in writing, the person receiving the concern will, however, ensure that a written account of it is made. Ideally this will be completed during an initial meeting. The member of staff raising the concern will be asked if they wish you have a professional representative or other supporting adult. This will help reassure the staff member raising the concern and the subsequent investigation by making sure that everyone involved is clear about what is being raised.

When a concern is raised, managers should undertake the following actions:

- Take the concern seriously
- Consider the concerns fully and objectively
- Recognise that raising a concern can be a difficult experience for employees
- Ensure confidentiality

Initial enquiry

In order to protect the individuals and those accused of misdeeds or possible malpractice, initial enquiries will be made to decide whether an investigation is appropriate and, if so, what form it should take. The overriding principle, which the School will have in mind, is that of the public interest. If urgent action is required, this will be taken before any investigation is conducted.

Purpose of the initial inquiry is to ascertain if the conduct or behaviour involves a Member of the School, a senior manager or a member of staff, so that further enquiries and investigation can be progressed accordingly.

Preliminary enquiry

Preliminary enquiry establishes need to carry out an investigation. Further to the results of the initial and preliminary enquiries, and at the discretion of senior management, the following steps will then need to be considered:

- Concerns or allegations, which fall within the scope of specific procedures, eg child protection or discrimination issues, will normally be referred for consideration under those procedures
- Where there is any financial impropriety, the concern should be referred to Internal Audit, before taking any other action
- Inform the Head of Legal and Democratic Services, who is the School's Monitoring Officer if the concerns indicate unlawful activity.

- Ensure that matters of a criminal nature are reported to the Police, after consultation with Internal Audit
- Whether the disciplinary or other relevant management policies, procedures and processes of the School need to be applied
- Appointment of an officer to carry out the investigation under these procedures.
- Suspected incidents of malpractice relating to examinations will be reported to the appropriate awarding body at the earliest opportunity.
- In other cases, an impartial investigator may be appointed and the School will seek advice from the LEO or the School's Personnel Consultant regarding an appropriate person.

Investigation

Depending on the nature of concerns, investigation may be carried out under the Dignity at Work Policy or Disciplinary and Capability Policy.

Investigation Timescales

Within 14 working days of a concern being raised, the person who is dealing with the concern that has been raised will respond in writing either to the employee directly, or to Navex Global where this was the reporting route:

- Acknowledging that the concern has been received
- Indicating how the School propose to deal with the matter
- Giving an estimate of how long it will take to provide a final response
- Advising whether any initial enquiries have been made
- Supplying information on staff support mechanisms, and
- Advising whether further investigations or action is required and, if not, why not.

Where Navex Global was the reporting route the manager dealing with the concern will provide an additional update to Navex Global at 28 calendar days after the report was received, advising of additional progress made and the estimated date a final response will be available.

Investigation process

The appropriate impartial person appointed to undertake the investigation would establish the facts of the matter and assess whether the concern has foundation and can be resolved internally. Other people may need to be interviewed to provide further information and/or clarification concerning the issue(s) raised.

It is essential that written records of all interviews be kept throughout the investigation, together with written details of any action taken. The investigation will result in a written report and recommendations for corrective action which will be passed to the Head Teacher and/or the Chair of the Governing Body responsible for deciding whether formal action shall be taken.

Where any meeting is arranged involving an individual member of staff, which can be off-site, a recognised Trade Union representative or a work colleague may also attend. The School will take steps to minimise any difficulties which may be experienced as a result of raising a concern. For instance, if a member of staff is required to give evidence in criminal or disciplinary proceedings, the School will arrange for them to receive appropriate procedural and/or legal advice.

The member of staff raising the concern with a manager will be, subject to legal constraints, advised in writing of the outcome of the investigation and, where appropriate, what action is being taken. This may include changes to working practices to ensure that a similar situation does not occur again. Where the concern was raised via Navex Global, the employee will be invited to contact them for feedback at the appropriate time.

Malicious or Vexatious Allegations

Staff are encouraged to raise concerns when they believe there to potentially be an issue. If an allegation is made in good faith, but the investigation finds no wrongdoing, there will be no disciplinary action against the member of staff who raised the concern.

If, however, an allegation is shown to be deliberately invented or malicious, the head teacher will consider whether any disciplinary action is appropriate against the person making the allegation.

Monitoring arrangements

The Governing Body of the School is responsible for overseeing the operation of this policy and for ensuring that appropriate records are maintained regarding concerns raised and the outcomes. It is also responsible for reporting concerns to officers at Surrey County Council (Ann Panton) and to other external bodies as appropriate to the circumstances. In reviewing this policy from time to time, the Governing Body will have regard to the Council's whistle blowing procedures in force at the time of review.

The practical aspects of monitoring are to assess whether:

- The policy is being used appropriately
- Concerns are being handled and investigated properly
- There are any discernible patterns of concern across the School
- The policy has been effective in identifying and deterring malpractice, and
- More needs to be done to raise awareness of the policy.

Additional Contact Details:

Designated Safeguarding Lead

The School's DSL is: Mrs Ruth Bienkowski

Area Education Officer

Telephone: Ann Panton Tel: 01737 737960 Mob: 07976 924186

Surrey County Council's Internal Audit Team

Telephone: 020 8541 9299 Email: internal.audit@surreycc.gov.uk

Strictly Education 4S

Telephone: 0800 073 4444